

18.0 SEX OFFENDER TRANSACTIONS

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18.0 SEX OFFENDER TRANSACTIONS

18.1 DESCRIPTION

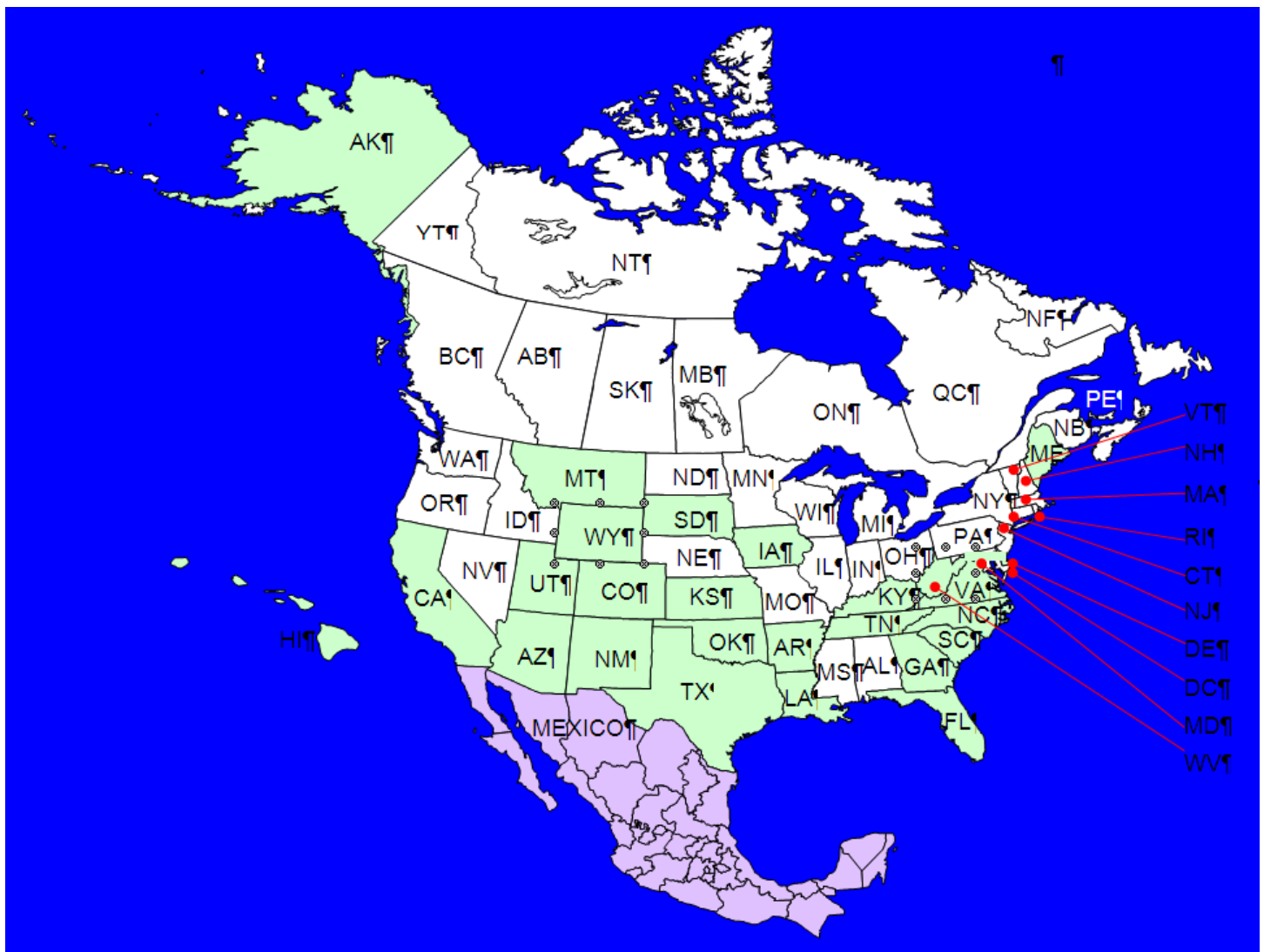
The sex offender query (SOQ) transaction queries state database for the existence of registered sex offender records by name, DOB, and gender; SID; FBI; SOC; and/or MNU.

The Sex Offender Registration Notification (SON) serves as a formal method for an agency to communicate with another agency regarding the relocation of a sex offender

See [Appendix A, Section 18.0](#) for XML formats and examples.

See [Appendix B, Section 18.0](#) for Native formats and examples.

18.1.1 SOQ PARTICIPATING STATES



- Participating state / Province
- Non - Participating
- Mexico - Non – Participating

18.2 INQUIRY MESSAGE KEY

SOQ	Sex offender Query
SON	Sex offender notification

18.3 INQUIRY DESTINATION LIMITATIONS

SOQ Single two-character state/province code

18.4 DATA ELEMENTS

18.4.1 SOQ DATA ELEMENTS

Although all of these elements may be included in the query, each search element may generate a separate query, depending on the capabilities of the state.

Field	MFC
Message key	None
ORI of requesting agency	None
Destination code	None
Fixed field prefix denoting beginning of message	TXT
Purpose	PUR/
Attention	ATN/
Name	NAM/
Date of Birth	DOB/
Gender	SEX/
Social Security Number	SOC/
State ID Number	SID/
Miscellaneous Number	MNU/
FBI number	FBI/
Image	IMG/

Some states treat this type of information as criminal history and some do not. Therefore, inclusion of the purpose code and attention fields is optional.

If a state requires the PUR and/or ATN fields but that info has been omitted from the transaction, a reject message identifying what information is missing will be returned.

18.4.1.1 SOQ INQUIRY FORMATS

SOQ•ORI•__•TXT•PUR/_
•ATN/_____
•IMQ/_

AND, ONE OF THE FOLLOWING DATA SETS

•NAM_____•DOB/____•SEX/_

OR

•SOC/_____

OR



•SID/_____

OR

•FBI/_____

OR

•MNU/_____

 Mandatory fields
 Optional fields

18.4.1.2 INQUIRY FORMATS - EXAMPLE

INQUIRY BY NAME, DOB, GENDER - EXAMPLE

SOQ.RO0370000.TXT WA.PUR/C.ATN/OFCR JONES.NAM/PERSON, TEST ONE
.DOB/19900105.SEX/M

INQUIRY BY SID NUMBER - EXAMPLE

SOQ.OR0370000.WA.TXT PUR/C.ATN/OFCR JONES.SID/CA12345678

INQUIRY BY FBI NUMBER - EXAMPLE

SOQ.OR0370000.WA.TXT PUR/C.ATN/OFCR JONES.FBI/RA1234567

INQUIRY BY SOC NUMBER - EXAMPLE

SOQ.OR0370000.WA.TXT PUR/C.ATN/OFCR JONES.SOC/123456789

INQUIRY BY MNU NUMBER - EXAMPLE

SOQ.OR0370000.WA.TXT PUR/C.ATN/OFCR JONES.MNU/OA-123456789012

18.4.1.3 INQUIRY RESPONSES

Responses to inquiries can include:

- Any or all of the following data elements:
 - NAM Name
 - Address
 - DOB Date of Birth
 - Description
 - SOC Social Security number
 - County of registration
 - Date of registration
 - Release / Sentence date
 - Next of kin
 - Employer
 - Employer's address
 - OLN Driver license number
 - OLS Driver license state
 - OLY Driver license expiration
 - IMG image
- No Record

18.4.1.4 RESPONSE EXAMPLE

```
**** CURRENT REGISTRATION INFORMATION ****
ORI - SCLED00A0  SID - SC00731124
PURSUANT TO SECTION 23-3-490 IN CHAPTER 3, TITLE 23 OF THE 1976 CODE: INFORMATION
COLLECTED FOR THE OFFENDER REGISTRY SHALL NOT BE OPEN TO INSPECTION BY THE
PUBLIC. THE INFORMATION SHALL BE MADE AVAILABLE ONLY TO LAW ENFORCEMENT,
INVESTIGATIVE AGENCIES AND THOSE AUTHORIZED BY THE COURT

DATE 05/29/1996          TIME 1037:45
REG ORI-SC0230000  SRS NUMBER-0000000869
COUNTY OF REGISTRATION-23 GREENVILLE
RELEASE/SENTENCE DATE: 03/017/94

NAME-PUBLIC, JOHN Q      SEX-M  RACE-W  DNA-UNAVAILABLE
HEIGHT- 508  WEIGHT-173  EYE-HAZ  HAIR-BLN  SKIN-RUD  BLOOD-

DOB/19410514  SOC-472474139  SMT- TAT LF ARM  DESC-HEART WITH DAGGER
COMMENT-CSC WITH MINOR

ADDRESS-43 MILLER ROAD
CITY-GREENVILLE      ZIP-29611
PHONE-813-555-1213

EMPLOYER- WIDGET CORPORATION  ADDRESS-4747 MAIN STREET
CITY-GREENVILLE  STATE-SC  ZIP-29613  PHONE-803-555-1316

NEXT OF KIN-MARY Q PUBLIC
ADDRESS-15 OAK STREET  CITY-COLUMBIA
STATE-SC  ZIP-29633  PHONE-803-555-8787

PRE-REGISTRATION ENTERED:      03/25/94  BY:SO

REGISTRATION ENTERED:          03/25/94  BY:321
LAST ANNUAL REGISTRATION:      00/00/0000  BY:
*****
ADDITIONAL IDENTIFIERS
NAME          BIRTH          SMT          DES  SOC
JOHNNY PUBLIC

DR LICENSE    STATE EXP YEAR
473494812     SC      1998

STATE STATUTE      CONVICTION DATE
16-3-655  CSC WITH MINOR      06/10/91
```

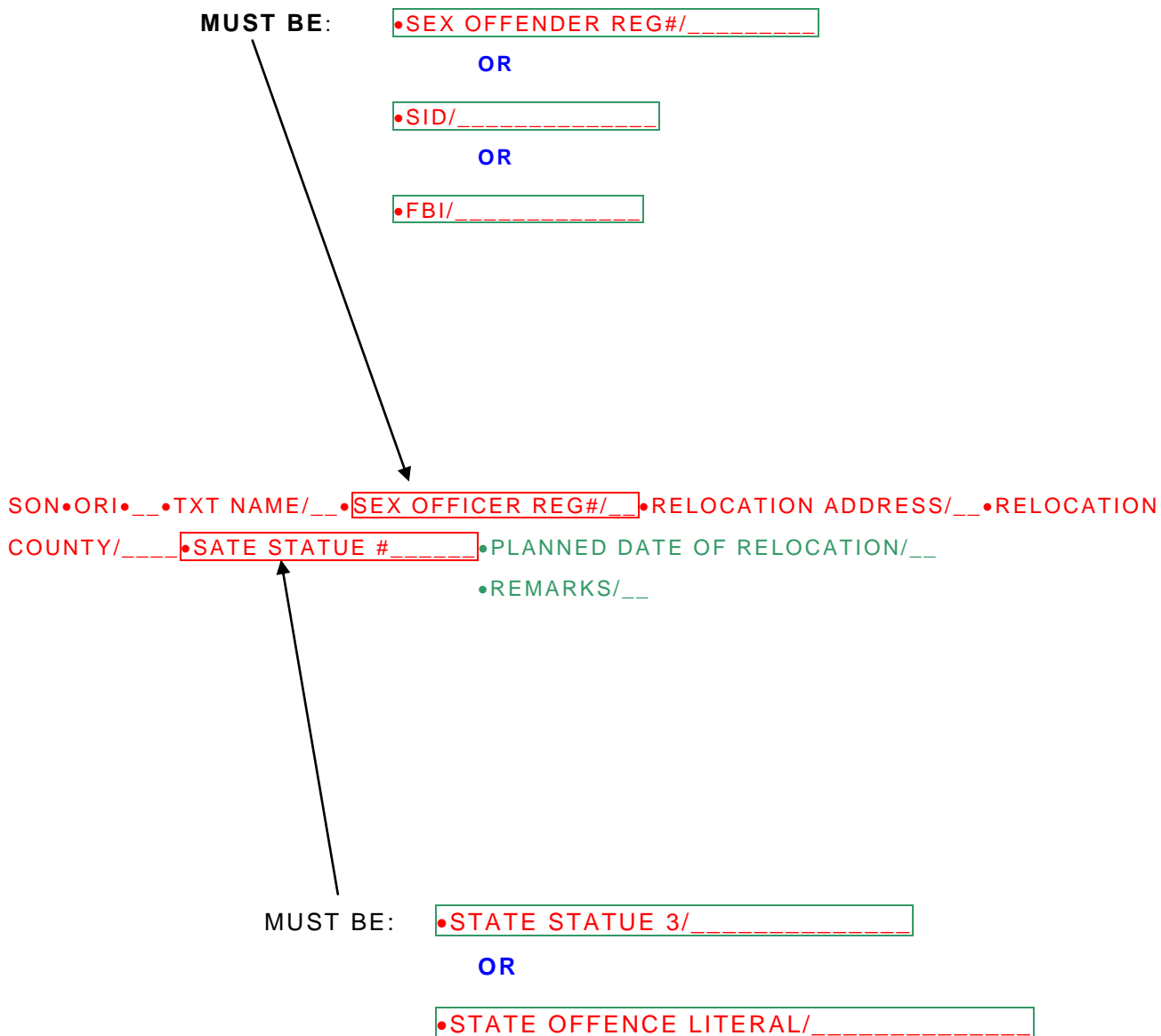
18.4.2 SON DATA ELEMENTS


The Sex Offender Registration Notification (SON) serves as a formal method for an agency to communicate with another agency regarding the relocation of a sex offender.


Although all of these elements may be included in the transaction, each search element may generate a separate query, depending on the capabilities of the state.

Field	MFC
Message key	None
ORI of requesting agency	None
Destination code	None
Fixed field prefix denoting beginning of message	TXT
Name	NAM/
Gender	SEX/
Sex Offender Registration number	SEX OFFENDER REG#/
FBI number	FBI/
State ID Number	SID/
Relocation address	RELOCATION ADDRESS/
Relocation county	RELOCATION COUNTY
State statute number	STATE STATUTE#/
State offense literal	STATE OFFENCE LITERAL/
Remarks	REMARKS/

18.4.2.1 SON TRANSACTION FORMATS



 Mandatory fields

 Optional fields

18.4.2.2 SON TRANSACTION - EXAMPLE

```
SON.OR0370000.OR0307013C.TXT NAME/PUBLIC, JOHN Q.SEX/M.SID/CO1234567.  
RELOCATION ADDRESS/ANYWHERE, COLORADO. RELOCATION COUNTY/ LARIMER. STATE  
STATUTE #/486-10.PLANNED DATE OF RELOCATION/AUGUST 8, 1997.REMARKS/ SUBJECT IS  
5'7" 157 BRN BRN. WAS EMPLOYED BY ACME ELECTRONICS FOR FIVE YEARS. ANY  
ADDITIONAL QUESTIONS CONTACT OFFICER O'HARA (602) 555-1111.
```

18.4.2.3 INQUIRY RESPONSES

Responses to inquiries can include:

- Any or all of the following data elements:

NAM	Name
-	Address
DOB	Date of Birth
-	Description
SOC	Social Security number
-	County of registration
-	Date of registration
-	Release / Sentence date
-	Next of kin
-	Employer
-	Employer's address
OLN	Driver license number
OLS	Driver license state
OLY	Driver license expiration
IMG	image

18.4.2.4 RESPONSES - EXAMPLES

```
NAME/PUBLIC, JOHN Q.SEX/M.SID/CO1234567.  
RELOCATION ADDRESS/ANYWHERE, COLORADO. RELOCATION COUNTY/ LARIMER. STATE  
STATUTE #/486-10.PLANNED DATE OF RELOCATION/AUGUST 8, 1997.REMARKS/ SUBJECT IS  
5'7" 157 BRN BRN. WAS EMPLOYED BY ACME ELECTRONICS FOR FIVE YEARS. ANY  
ADDITIONAL QUESTIONS CONTACT OFFICER O'HARA (602) 555-1111.
```

18.5 MESSAGE FIELD CODE DIRECTORY

Each message transaction is comprised of multiple data elements; this section provides the necessary information on data elements for this transaction. Each data element is identified by a specific field name. Each field name is abbreviated with a unique three-character code called a message field code (MFC). The MFC's are sorted alphabetically, then followed by a plain-word description of each. The number immediately following the description indicates the maximum number of characters allowed for that data element. Below the description line is text describing specific requirements for this field.

18.5.1 **ATN** ATTENTION - **20**

OPTIONAL

Alphanumeric. Name of the person making the request. This field is optional in this transaction ONLY if it is not required by the state to which the inquiry is made.

18.5.2 **DOB** DATE OF BIRTH - **8**

CONDITIONAL

Numeric only. Complete date of birth of the subject of the inquiry. Must be CCYYMMDD.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

18.5.3 **FBI** FBI NUMBER - **9**

FBI# FBI NUMBER - **9**

CONDITIONAL

Alphanumeric. A unique FBI-assigned number of the subject of inquiry.

- Mandatory in query by SOQ transaction by FBO
- Mandatory in SON transaction if SEX OFFENDER REG# or SID not used
- Prohibited in all other transactions

18.5.4 MNU MISCELLANEOUS NUMBER - 15

CONDITIONAL

Alphanumeric. The first two characters must be a valid NCIC code from the Personal Descriptors chapter of the NCIC Code Manual. The third character must be a hyphen.

- Mandatory in query by MNU
- Prohibited in all other transactions

18.5.5 NAM NAME - 30

NAME NAME - 30

CONDITIONAL

Alpha only. Name of person that is the subject of query. Multiple last names, with or without spaces in between, are combined into the entered last name. Apostrophes are not entered. For last names conjugated by the letter "y", replace the "y" with a hyphen and join the names together. A single comma is required after the last name.

Hyphens are permitted in the last name only; if a first or middle name is hyphenated, replace the hyphen with a space. If that creates more names than permitted, remove the space so the two formerly hyphenated names become one.

The usage of surnames from different countries can often be confusing. For example, Hispanics generally use both their fathers and mothers last name, giving them a double last name. The first name of this double last name is generally the most important name for record check purposes. If one does not know how to place these names in the name fields on inquiries, misses could occur.

Below is a short explanation of the construction of names often checked with INS indices.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

HISPANIC FIRST NAMES:

Many Spanish first names consist of more than one word, for example, Maria de Los Angeles, Maria de la Luz, and Maria del Carmen.

When written with a prepositional phrase, the name should be treated as one first name.

If the name is not recorded with a prepositional phrase, for example, Maria Luz or Maria Carmen, it should be considered first and middle names.

HISPANIC SURNAMES

Spanish and Hispanic persons customarily use the surnames of both parents.

This double surname is derived from the first surname of the father and the first surname of the mother. Neither name is considered a middle name. The surname of the father precedes that of the mother.

The two surnames may be connected by the word "y", which means "and." For example, Juan Gomez y Conde has Juan as a first name, Gomez as the surname of the father, and Conde as the surname of the mother.

For recording purposes, all double last names are listed with the father's surname followed by the mother's surname. Juan Gomez y Conde would be recorded as Juan Gomez Conde.

The nationals of some South American countries do not, as a general rule, use both last names. If the subject comes from a country that uses both last names, but the subject only uses one, and it is his mother's surname, this will often indicate that the subject's parents were not married.

HISPANIC MARRIED NAMES FOR WOMEN

When she marries, a woman commonly drops the surname of her mother and adds the first surname of her husband, preceded by the preposition "de." This indicates she is the "wife of" that man.

Maria Gomez Garcia, when married to Juan Martinez Ramirez, would become Maria Gomez de Martinez and will be recorded as Gomez de Martinez, Maria.

Note that according to traditional Hispanic usage the woman's surname never changes.

After admission to the United States, however, some women have adopted the American custom of using the husband's surname as their own. Maria Gomez de Martinez may begin to give her name as Martinez, Maria Gomez.

ASIAN (CHINESE) NAMES

When a sequence of two, three or four names appear, no part of which can be recognized as the first name, middle name or surname, the first part only is identified as the surname and the next parts used as the first name and middle name.

When one of more names follows the surname, use only the first as the surname.

Sometimes the surname will be in capital letters and the other names will be connected by a hyphen. In such cases you may find the surname written either before or after the other names.

Name	Surname
Low Tai Yat	Low
Harry Yat Bun Sing	Yat
Soong Mei Ling	Soong

MIDDLE EASTERN NAMES

When a sequence of two, three or four names appear, no part of which can be recognized as the first name, middle name or surname, the last part only is identified as the surname and the first part used as the first name.

Name	Surname
Mohammed Ali Jafir	Jafir

When a surname is preceded by an article such as "El" or "Al", it is considered to be part of the surname.

Name	Surname
Yousef Ben El Gazar	El Gazar

Name format:

LAST, FIRST MIDDLE OTHER

Examples:

Subject has an other name:

Mr. Reginald Charles Hogworthy III

HOGWORTHY, REGINALD CHARLES III

The subject's last name is hyphenated or the letter y conjugates last names:

Natalie Spencer-Windsor

SPENCER-WINDSOR, NATALIE

Jennifer M. Gomez y Garcia

GOMEZ-GARCIA, JENNIFER M

John Franklin al-Muhammad y Jones Jr.

AL-MUHAMMAD-JONES, JOHN FRANKLIN JR

Dr. Jeffrey Jay van de Muhammad Al-Akbariah

VANDEMUHAMMADAL-AKBARIAH, JEFFREY JAY

The last name is a compound name without a hyphen or the letter y:

James F. FitzMaurice III

FITZMAURICE, JAMES F III

Mrs. Alice Fay McDonahue

MCDONAHUE, ALICE FAY

Jean Pierre Michael d'Lessandro

DLESSANDRO, JEAN PIERRE MICHAEL

Mr. Anthony Mark Van de Swelte

VANDESWELTE, ANTHONY MARK

Ms. Christina Michelle Jones O'Connor

JONESOCONNOR, CHRISTINA MICHELLE

The first name is compound:

Billy Jack Harry Coe

COE, BILLY JACK HARRY

Velda-Mae Cook

COOK, VELDA MAE

Mary-Jo Ashley Heather McMahan

MCPAHAN, MARYJO ASHLEY HEATHER

Joanne Hurt

HURT, JOANNE

Jo Ann Okilahoe

OKILAHOE, JO ANN

The first or middle name is an initial:

Mr. T. S. Elliot

ELLIOT, T S

18.5.6 **RELOCATION ADDRESS** **SUBJECT'S ADDRESS - 60**

CONDITIONAL

Numeric only. The nine digit social security number of the subject of the inquiry.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

18.5.7 **RELOCATION COUNTY** **COUNTY WHERE SUBJECT RESIDING - 30**

CONDITIONAL

Numeric only. The nine digit social security number of the subject of the inquiry.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

18.5.8 **PLANNED DATE OF RELOCATION** **DATE SUBJ TO MOVE - 8**

OPTIONAL

Numeric only; date on which subject is supposed to move. Must be in "CCYYMMDD" format.

18.5.9**PUR****PURPOSE CODE - 1****OPTIONAL**

Alpha only. Identifies the purpose of the request. This field is optional in this transaction ONLY if it is not required by the state to which the inquiry is made.

CODE	PURPOSE
C	Must be used when the Sex Offender Registration Query (SOQ) is for official duties in connection with the administration of criminal justice.
E	Must be used for employment and licensing.
J	Must be used when the Sex Offender Registration Query (SOQ) involves employment with a criminal justice agency or the screening of employees of other agencies over which the criminal justice agency is required to have management control. Criminal justice employment has been separated from other criminal justice purposes due to the requirement of some state agencies.
F	Must be used by criminal justice agencies in all states for screening applications for firearms and related permits. This includes firearms dealers, firearms purchases, carriers of concealed weapons, explosive dealers and users, and lethal weapons dealers and users, but <u>only</u> when a Federal, state or local law/ordinance exists making the criminal justice agency responsible for the issuance of the licenses/permits.
D	Must be used by Courts when hearing civil domestic violence or stalking cases. This purpose code shall not allow access to State sealed records.
S	Must be used by Defense Investigative Service and any other SCIA agencies authorized specifically by Nlets to access CHRI through the network using IQ, FQ, and AQ.

18.5.10**REM****REMARKS - 500****OPTIONAL**

Alphanumeric only; any remarks describing the subject, unique details of crime(s) convicted, behavior problems, etc.

18.5.11**SEX****GENDER - 1****CONDITIONAL**

Alpha only. Name of person that is the subject of query. Gender of the subject of the inquiry. Must be "M" for male, "F" for female, "U" for unknown.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

18.5.12 **SEX OFFENDER REG #** **SEX OFFENDER REGISTRATION NUMBER - 200**

CONDITIONAL

Alphanumeric only; state assigned registration number of registered sex offender.

- Mandatory in query by SOQ transaction by SID
- Mandatory in SON transaction if SID or FBI not us
- Prohibited in all other transactions

18.5.13 **SID** **STATE IDENTIFICATION NUMBER - 10**

SID# **STATE IDENTIFICATION NUMBER - 10**

CONDITIONAL

Alphanumeric. Name of person that is the subject of query. A unique number assigned to the subject by a state identification bureau based upon positive identification from a fingerprint-based check.

- Mandatory in query by SOQ transaction by SID
- Mandatory in SON transaction if SEX OFFENDER REG# or FBI not used
- Prohibited in all other transactions

18.5.14 **SOC** **SOCIAL SECURITY NUMBER - 9**

CONDITIONAL

Numeric only. The nine digit social security number of the subject of the inquiry.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

18.5.15 **STATE STATUTE** **STATUTE NUMBER OF CRIME SUBJ CONVICTED - 50**

CONDITIONAL

Alphanumeric only; statute number of the crime for which subject was convicted.

- Mandatory in SON transaction if STATE OFFENCE LITERAL/ not used
- Prohibited in all other transactions

18.5.16 **STATE OFFENCE LIETERAL** NAME OF STATUTE SUBJ CONVICTED - **50**
CONDITIONAL

Alphanumeric only; name of the crime (statute) for which subject was convicted.

- Mandatory in SON transaction if STATE STATUTE/ not used
- Prohibited in all other transactions